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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR § 1.53(b))

Attorney Docket No.	1875.2050000
Inventors	Johnson et al.
Title	Method, Apparatus and Computer Program Product for Performing Data Packet Classification
Express Mail Label No.	n/a

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

## ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages: 52]  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets: 19]
5. ☒ Oath or Declaration [Total Pages: 2]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
    - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR §§  
1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76.

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Two return receipt postcards (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: Authorization to Treat a Reply as Incorporating an Extension of Time under 37 C.F.R. § 1.136(a)(3)  
☒ Other: The name of the assignee is: Broadcom Corporation

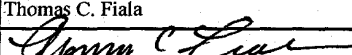
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-Part (CIP) of prior application No: \_\_\_\_/  
Prior application information: Examiner \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label Customer No. 26111	<input type="checkbox"/> Correspondence address below		
(Insert Customer No. or Attach bar code label here)			
NAME	STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.		
ADDRESS	Attorneys at Law		
CITY	STATE	ZIP CODE	
COUNTRY	TELEPHONE	FAX	

NAME (Print/Type)	Thomas C. Fiala	Registration No. (Attorney/Agent)	43,610
SIGNATURE		Date	3/5/02

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# FEE TRANSMITTAL

## for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT	(\$1206.00)	Complete if Known	
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METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)																																																																																																																																																																															
<p>1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:</p> <p>Deposit Account Number: 19-0036</p> <p>Deposit Account Name: Sterne, Kessler, Goldstein &amp; Fox P.L.L.C.</p> <p><input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27</p>		<p>3. ADDITIONAL FEES</p> <table border="1"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Description</th> <th>Fee paid</th> </tr> </thead> <tbody> <tr><td></td><td></td><td>105</td><td>130</td><td>205 65 Surcharge - late filing fee or oath</td><td></td></tr> <tr><td></td><td></td><td>127</td><td>50</td><td>227 25 Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td></td><td></td><td>139</td><td>130</td><td>139 130 Non-English specification</td><td></td></tr> <tr><td></td><td></td><td>147</td><td>2,520</td><td>147 2,520 For filing a request for ex parte reexamination</td><td></td></tr> <tr><td></td><td></td><td>112</td><td>920*</td><td>112 920* Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td></td><td></td><td>113</td><td>1,840*</td><td>113 1,840* Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td></td><td></td><td>115</td><td>110</td><td>215 55 Extension for reply within first month</td><td></td></tr> <tr><td></td><td></td><td>116</td><td>400</td><td>216 200 Extension for reply within second month</td><td></td></tr> <tr><td></td><td></td><td>117</td><td>920</td><td>217 460 Extension for reply within third month</td><td></td></tr> <tr><td></td><td></td><td>118</td><td>1,440</td><td>218 720 Extension for reply within fourth month</td><td></td></tr> <tr><td></td><td></td><td>128</td><td>1,960</td><td>228 980 Extension for reply within fifth month</td><td></td></tr> <tr><td></td><td></td><td>119</td><td>320</td><td>219 160 Notice of Appeal</td><td></td></tr> <tr><td></td><td></td><td>120</td><td>320</td><td>220 160 Filing a brief in support of an appeal</td><td></td></tr> <tr><td></td><td></td><td>121</td><td>280</td><td>221 140 Request for oral hearing</td><td></td></tr> <tr><td></td><td></td><td>138</td><td>1,510</td><td>138 1,510 Petition to institute a public use proceeding</td><td></td></tr> <tr><td></td><td></td><td>140</td><td>110</td><td>240 55 Petition to revive - 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<p>2. <input checked="" type="checkbox"/> Payment Enclosed:</p> <p><input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input checked="" type="checkbox"/> Other*</p> <p>*Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036.</p>		<p>1. BASIC FILING FEE</p> <table border="1"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>104</td><td>201</td><td>370</td><td>740</td><td>Utility filing fee</td><td>740.00</td></tr> <tr><td>106</td><td>206</td><td>165</td><td>330</td><td>Design filing fee</td><td></td></tr> <tr><td>107</td><td>207</td><td>255</td><td>510</td><td>Plant filing fee</td><td></td></tr> <tr><td>108</td><td>208</td><td>370</td><td>740</td><td>Reissue filing fee</td><td></td></tr> <tr><td>114</td><td>214</td><td>80</td><td>160</td><td>Provisional filing fee</td><td></td></tr> </tbody> </table> <p>SUBTOTAL (1) (\$) 740.00</p>		Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid	104	201	370	740	Utility filing fee	740.00	106	206	165	330	Design filing fee		107	207	255	510	Plant filing fee		108	208	370	740	Reissue filing fee		114	214	80	160	Provisional filing fee																																																																																																																																											
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SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Thomas C. Fiala	Registration No. (Attorney/Agent)	43,610
Signature		Telephone	202-371-2600
		Date	3/5/02

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**Sterne Kessler  
Goldstein Fox**  
ATTORNEYS AT LAW

Robert Greene Sterne  
Edward J. Kessler  
Jorge A. Goldstein  
David K.S. Cornwell  
Robert W. Esmond  
Tracy-Gene G. Durkin  
Michele A. Cimballa  
Michael B. Ray  
Robert E. Sokohl  
Eric K. Steffe  
Michael Q. Lee  
Steven R. Ludwig  
John M. Covert  
Linda E. Alcorn  
Robert C. Millonig  
Lawrence B. Bugaisky  
Donald J. Featherstone  
Michael V. Messinger

Judith U. Kim  
Timothy J. Shea, Jr.  
Patrick E. Garrett  
Jeffery T. Helvey\*  
Heidi L. Kraus  
Crystal D. Sayles  
Edward W. Yee  
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Donald R. Banowitz  
Peter A. Jackman  
Molly A. McCall  
Teresa U. Medler  
Jeffrey S. Weaver  
Kendrick P. Patterson  
Vincent L. Capuano  
Albert J. Fasulo II\*  
Eldora Ellison Floyd  
W. Russell Swindell

Thomas C. Fiala  
Brian J. Del Buono\*  
Virgil Lee Beaston\*  
Reginald D. Lucas\*  
Kimberly N. Reddick  
Theodore A. Wood  
Elizabeth J. Haanes  
Bruce E. Chalker  
Joseph S. Ostroff  
Frank R. Cottingham\*  
Christine M. Lhulier  
Rae Lynn Prengaman\*  
Jane Shershenovich\*  
Lawrence J. Carroll\*

Senior Counsel  
Samuel L. Fox  
Kenneth C. Bass III

Registered Patent Agents  
Karen R. Markowicz  
Andrea J. Kamage  
Nancy J. Leith  
Joseph M. Conrad III  
Ann E. Summerfield  
Helene C. Carlson  
Gaby L. Longworth  
Matthew J. Dowd  
Aaron L. Schwartz  
Angelique G. Uy  
Boris A. Matvenko  
Mary B. Tung

\*Admitted only in Maryland  
\*Admitted only in Virginia  
\*Admitted only in Texas

March 5, 2002

**WRITER'S DIRECT NUMBER:**  
(202) 218-7835

**INTERNET ADDRESS:**  
TFIALA@SKGF.COM

Commissioner for Patents  
Washington, D.C. 20231

**Box Patent Application**

Re: U.S. Non-Provisional Utility Patent Application under 37 C.F.R. § 1.53(b)  
Appl. No. (to be assigned); Filed: (herewith)  
For: **Method, Apparatus and Computer Program Product  
for Performing Data Packet Classification**  
Inventors: Johnson *et al.*  
Our Ref: 1875.2050000

Sir:

The following documents are forwarded herewith for appropriate action by the U.S.  
Patent and Trademark Office:

1. Fee Transmittal Form (PTO/SB/17);
2. PTO Utility Patent Application Transmittal Form (PTO/SB/05);
3. U.S. Utility Patent Application entitled:

**Method, Apparatus and Computer Program Product  
for Performing Data Packet Classification**

and naming as Inventors:

Thomas L. JOHNSON  
Joel DANZIG  
Paul BURRELL

Commissioner for Patents

March 5, 2002

Page 2

the application consisting of:

- a. a specification containing:
  - i. 43 pages of description prior to the claims;
  - ii. 8 pages of claims (39 claims); and
  - iii. a one (1) page abstract;
- b. 19 sheets of drawings (Figures 1-5, 6A-6B, 7A-7D, 8-14, 15A-15C, 16A-16B, and 17);
- c. Original executed Declaration for Patent Application;
- d. Application Data Sheet (37 C.F.R. § 1.76);
4. Authorization to Treat a Reply as Incorporating an Extension of Time under 37 C.F.R. § 1.136(a)(3);
5. Recordation Form Cover Sheet (Form PTO-1595);
6. Original executed Assignment to Broadcom Corporation, recordation of which is hereby respectfully requested;
7. Two (2) return postcards; and
8. SKGF check no. **34405** in the amount of **\$1206.00** to cover:
  - \$740.00 fee for filing patent application;
  - \$342.00 fee for total claims in excess of twenty (20);
  - \$ 84.00 fee for independent claims in excess of three (3); and
  - \$ 40.00 fee for recording Assignment.

It is respectfully requested that, of the two attached postcards, one be stamped with the filing date of these documents and returned to our courier, and the other, prepaid postcard, be stamped with the filing date and unofficial application number and returned as soon as possible.

Commissioner for Patents

March 5, 2002

Page 3

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Thomas C. Fiala

Attorney for Applicants

Registration No. 43,610

TCF/RDL:mlb

Enclosures

P:\USER3\pub\metv\Latco\18792050000 skgf nonprovisional

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Confirmation No. (to be assigned)

Art Unit: (to be assigned)

Examiner: (to be assigned)

Atty. Docket: 1875.2050000

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Examiner: (to be assigned)  
Atty. Docket: 1875.2050000

**COPIES**

Sir:

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Donna C. Lin

Thomas C. Fiala  
Attorney for Applicants  
Registration No. 43,610

Date: 3/5/02  
1100 New York Avenue, N.W.  
Suite 600  
Washington, D.C. 20005-3934  
(202) 371-2600